



gP 2161  
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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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*Diane Zym*  
Signature

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Technology Center 2100

Applicant : Craig L. Ogg  
Application No. : 09/690,796  
Filed : October 17, 2000  
Title : SECURE AND RECOVERABLE DATABASE FOR  
ON-LINE VALUE-BEARING ITEM SYSTEM  
Grp./Div. : 2161  
Examiner : To be assigned  
Docket No. : 39477/SAH/S850

INFORMATION DISCLOSURE STATEMENT AND  
CERTIFICATION UNDER § 1.97(e)(1)

Assistant Commissioner for Patents  
Washington, D.C. 20231

Post Office Box 7068  
Pasadena, CA 91109-7068  
May 2, 2001

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO-1449 with a listing of references that are known to applicant. Copies of each of the listed references are enclosed.

It is respectfully requested that these references be considered in the examination of this application and identified on the list of references cited on the patent issuing on this application. Applicant also requests that an initialed copy of said FORM PTO-1449 be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

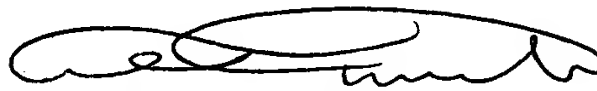
Applicant's undersigned attorney hereby certifies, in accordance with 37 CFR § 1.97(e)(1), that each item of information contained in the information disclosure statement was first cited

Application No.09/690,796

in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Respectfully submitted,  
CHRISTIE, PARKER & HALE, LLP

By



Raymond R. Tabandeh  
Reg. No. 43,945  
626/795-9900

RRT/sd2

Enclosures: PTO 1449, w/12 references  
DSZ PAS343676.1-\*5/2/01 10:13 AM

**MAILED**  
**INFORMATION DISCLOSURE**

**STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Attorney/Agent/Representative Number

39477/RRT/S850

Application Number

09/690,796

Filing Date

October 17, 2000

Applicant(s)

Craig L. Ogg

Group Art Unit

2161

Examiner Name

To be assigned

**U.S. PATENT DOCUMENTS**

EXAMINER INITIALS	DOCUMENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,802,218	01/1989	Wright et al.	380	23	
	4,935,961	06/1990	Gargiulo et al.	380	21	
	5,918,234	06/1999	Shah et al.	707	204	

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIALS	DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	0 840 258 /	05/1998	European Patent Office				
	0 927 958 /	07/1999	European Patent Office				
	0 948 158 /	10/1999	European Patent Office				X
	0 927 963 /	07/1999	European Patent Office				
	WO99/18514 /	04/1999	WIPO				
	WO98/57302 /	12/1998	WIPO				
	WO94/27258 /	11/1994	WIPO				

**OTHER DOCUMENTS**

EXAMINER INITIALS	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
	United States Postal Service, "Information Based Indicia Program Postal Security Device Specification," XP-002137734, Entire Document (21 Sheets), 06/1996
	International Search Report, International Application No. PCT/US00/41203, 02/2001

EXAMINER SIGNATURE	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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